



Investigating Sonoluminescence as a Source of Alternative Energy

John D. Wrbanek
Gustave C. Fralick
Susan Y. Wrbanek

NASA Glenn Research Center, Cleveland, Ohio

Presented at
GRC GREEN Forum
August 14, 2009





Outline



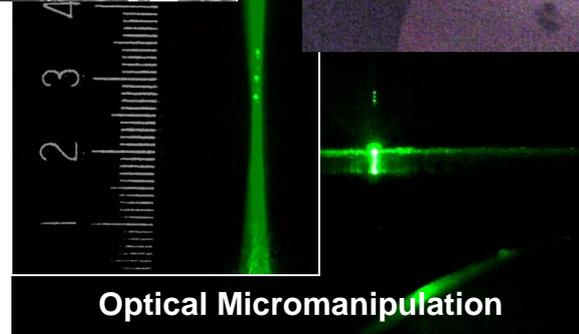
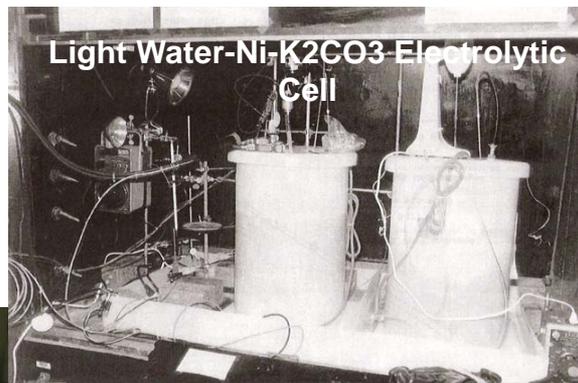
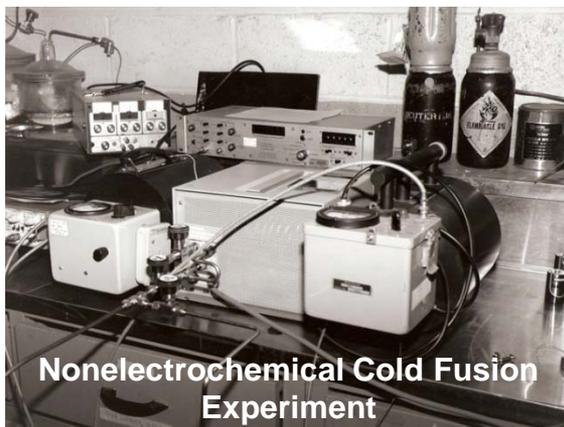
“Star in a Jar”

– *W. Moss, LLNL*

- Introduction
- Sonofusion
- Apparatus & Imaging
- Indications of High Temperature
- Concepts
- Summary

GRC History of Revolutionary Research

- Nonelectrochemical Cold Fusion Experiment
- Light Water-Ni-K₂CO₃ Electrolytic Cell
- Schlicher's Thrusting Antenna
- Optical Micromanipulation
- **NanoStar: Sonoluminescence**





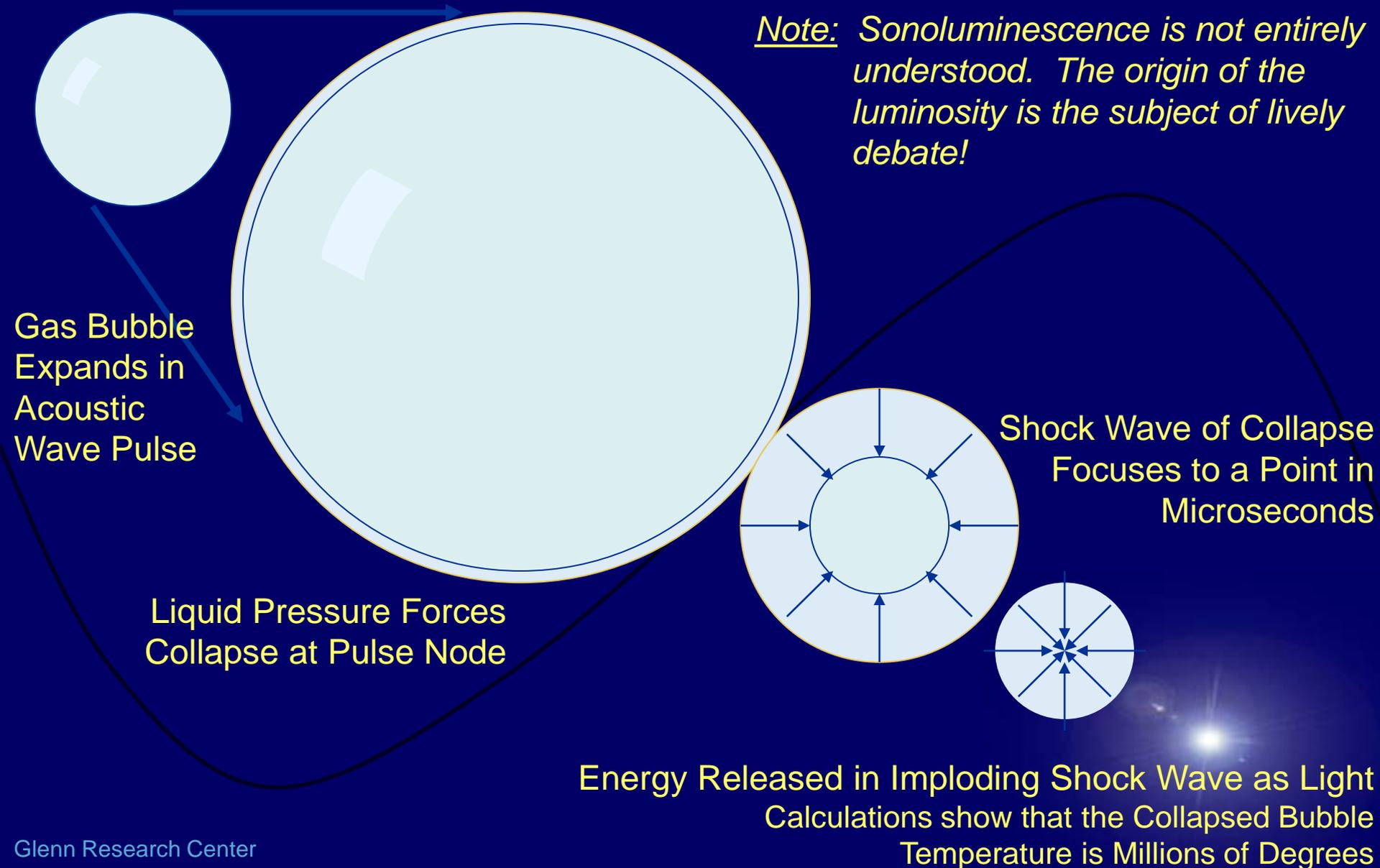
A Short History Of Sonoluminescence

- 1920's and 30's: Chemists discovered that strong acoustic fields could catalyze chemical reactions.
- 1934: H. Frenzel & H. Schultes, U. of Cologne, discovered sonoluminescence in a bath of water excited by sound waves.
 - Thought it was an electrical discharge phenomenon, similar to static electricity
- 1990: Gaitan and Crum, U. of Washington, succeeded in trapping a single light emitting bubble in partially degassed water.
 - Demonstrated bubbles can emit repeatable flashes at minimum of bubble collapse
- 1991: Putterman, UCLA, also begins systematic study
 - Putterman's article on Sonoluminescence appears in Feb. 1995 *Scientific American*
- 1997: NATO Advanced Study Institute on Sonochemistry and Sonoluminescence (a conference in Leavenworth, WA)
- 2002: Taleyarkhan, Purdue U. publishes "Evidence for Nuclear Emissions During Acoustic Cavitation", *Science*, 8 March 2002 ("Sonofusion")
 - Widespread publicity begins public debate over results, Empirical Science vs. Pathological Science
- 2005: Young's "Sonoluminescence" (CRC Press)

The Sonoluminescence Process



Note: Sonoluminescence is not entirely understood. The origin of the luminosity is the subject of lively debate!



Bubble Collapse

- Bubble begins expanding at minimum wave pressure (rarefaction)
 - Bubble Growth/Collapse is adiabatic ($P \cdot V^\gamma = \text{constant}$)
- Bubble expands to a radius of 30 to 60 μm , then collapses suddenly
 - Mach 4 wall velocity (10^{11}g acceleration)
- Bubble collapses to a radius of $\sim 0.5 \mu\text{m}$ (Van der Waals radius) for $< 20\text{ns}$
 - Flash of light at collapse (how?) for < 50 picoseconds
- “Afterbounces” of bubble amplitude after collapse $\sim 3 \text{ MHz}$
 - Instabilities in afterbounces can destroy the bubble

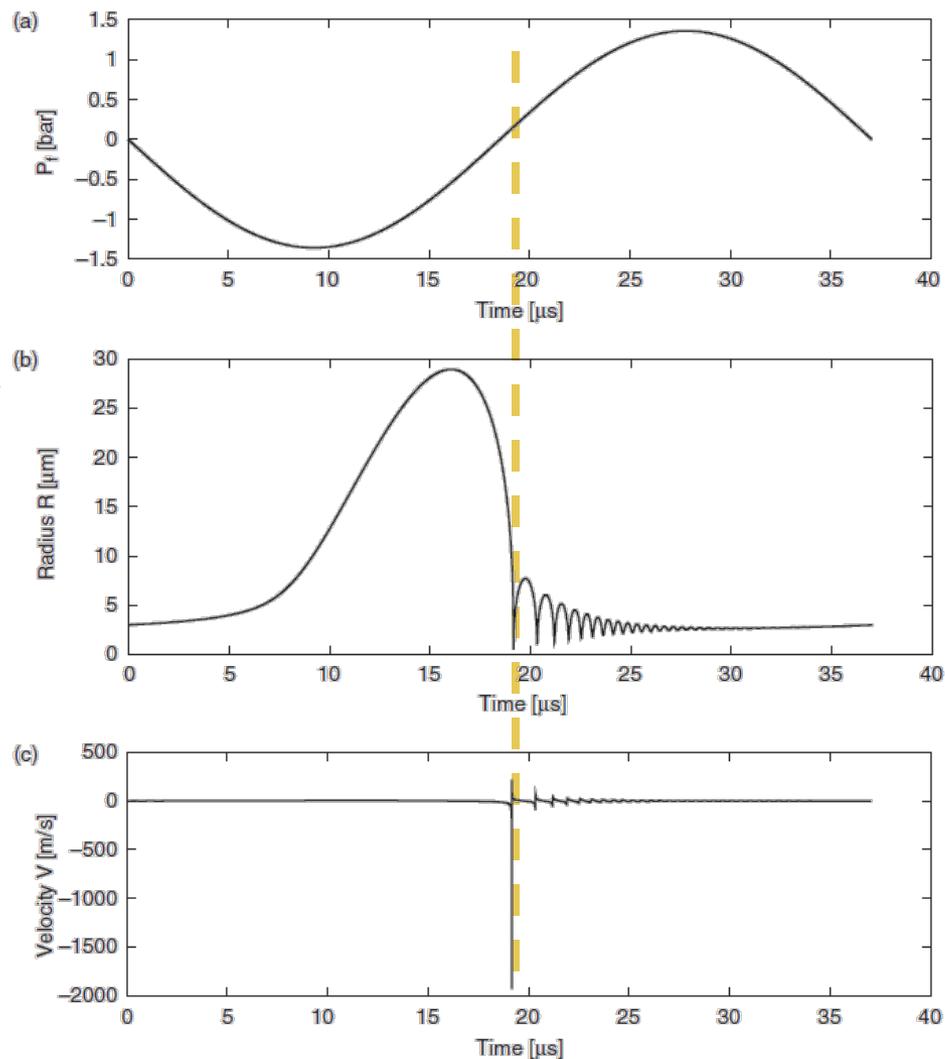


Figure 2. The driving pressure (a), the radius of the bubble (b), and the velocity of its interface (c) as a function of time for one acoustic cycle. The parameters are $P_a = 1.36 \text{ bar}$, $R_0 = 3 \mu\text{m}$, $\gamma = 5/3$, and $\omega/2\pi = 27 \text{ kHz}$.

Graphs from Simon, et al., *Nonlinearity* **15** (2002) 25–43



Theories of Sonoluminescence

- **Shock Wave Theory-S. Putterman, UCLA**
 - Bubbles remain perfectly spherical as they collapse
 - Pressure increases to as much as 200 Mbar at minimum radius
 - Bubble stops collapsing, but shock wave continues, creating plasma & light emission in broad spectrum
 - Doesn't account for reports that cold water works better than warm water, and water infused with a noble gas works better than not
- **Jet Formation-A. Prosperetti, U. of Mississippi**
 - Bubble does not remain spherical as it collapses, but caves in & propels a small jet across the bubble
 - The jet hits the opposite wall of the bubble at high speed and fractures the water at the point of impact; the light is due to fracto-luminescence.
 - Noble gases would disturb the crystalline form of the hammered water, and provide fracture points.
- **Collision Induced Emission-A. Frommhold & A. Atchley, U. of Texas**
 - Colliding molecules induce oscillating dipoles in each other.
 - Collisions occur on short time scale, so radiation is broad band, as is observed.
 - Effect is supposedly strongest when collisions occur between N or O and Ar.
 - Doesn't appear to explain water or temperature dependence of sonoluminescence.
- **Quantum Vacuum Radiation-C. Eberlein, U. of Illinois**
 - Radiation is due to dynamic Casimir effect: Photons are created whenever the interface between a dielectric and a vacuum or between two dielectrics moves non-inertially.
 - The medium can be regarded as an assembly of dipoles, excited by zero point fluctuations; when an interface moves non-inertially, fluctuations no longer average to zero and real photons are emitted.
 - The effect is normally very feeble; but the acceleration of the wall of the sonoluminescent bubble is enormous.
 - This theory makes a specific prediction: there are no photons emitted in the x-ray transparency range of water, $232 \text{ \AA} < \lambda < 437 \text{ \AA}$.

Sonofusion: Why do we care?

Burning Coal:

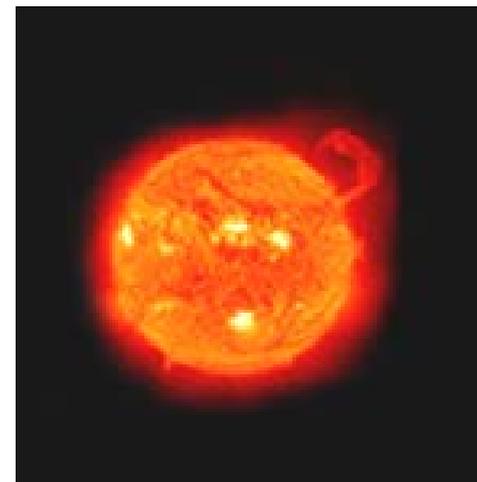
- $C + O_2 \rightarrow CO_2$ (4 eV)

Fission:

- $^{235}\text{U} + n \rightarrow ^{236}\text{U}$
 $\rightarrow ^{141}\text{Ba} + ^{92}\text{Kr} + 3 \cdot n$ (170 MeV)

Fusion Processes:

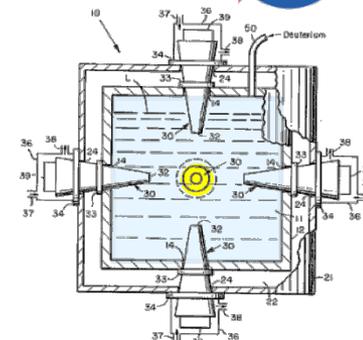
- $D + D \rightarrow T$ (1.01 MeV) + p (3.02 MeV)
- $D + D \rightarrow ^3\text{He}$ (0.82 MeV) + n (2.45 MeV)
- $D + D \rightarrow ^4\text{He}$ (73.7 keV) + γ (23.8 MeV)
- $D + ^3\text{He} \rightarrow ^4\text{He}$ (3.6 MeV) + p (14.7 MeV)
 - $D = ^2\text{H}$, $T = ^3\text{H}$; D available from D_2O , “heavy” water and from deuterated solvents
 - At least 13% more productive per mass of fuel



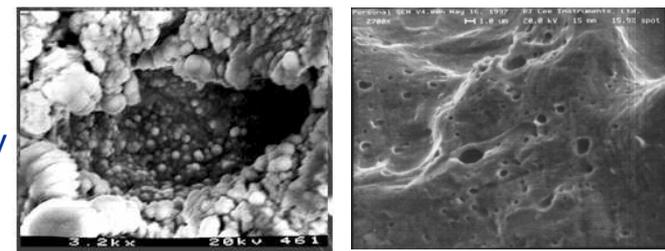
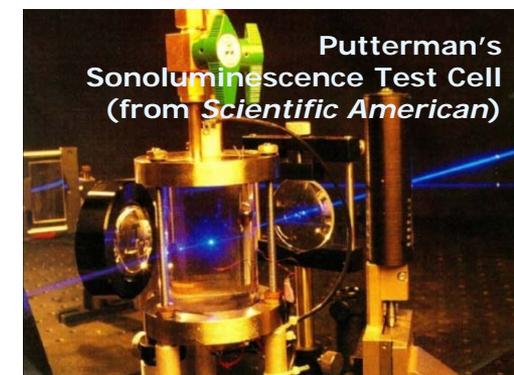


“Sonofusion”

- Cavitation Fusion Reactor (CFR) - Hugh Flynn (U. of Rochester) (1982) – Concept (never built)
 - Six acoustic horns to cavitate liquid lithium metal with hydrogen, deuterium or helium gas
 - Liquid metal used due to high speed of sound and thus higher energy cavitations
 - Fusion reactions would be initiated by cavitation
 - Case would heat a heat exchanger for energy harvesting
- Seth Putterman (UCLA) & W. Moss (LLNL) examined D-D and D-T fusion possibilities in Sonoluminescence (“Sonofusion”)
 - UCLA patented Putterman’s apparatus for converting acoustic power to other useful forms of energy, including D-T fusion reactions (1997)
 - No method of extracting the energy from fusion was outlined
- Roger Stringham & Russ George (D2Fusion, Inc.) published claims of “Cavitation-Induced Micro-Fusion” (1996-)
 - Metal foil (Cu, Ag, Ti, NiTi, Pd) in heavy water (D₂O) cavitated by an acoustic horn
 - Up to 15 watts output with 10 acoustic watts input
 - Micro-eruptions seen in Pd claimed indicative of localized nuclear reactions



Flynn's Cavitation Fusion Reactor (from Patent)



Stringham & George's “Micro-eruptions” on the surface of Pd foil (from George's 2005 APS Presentation)



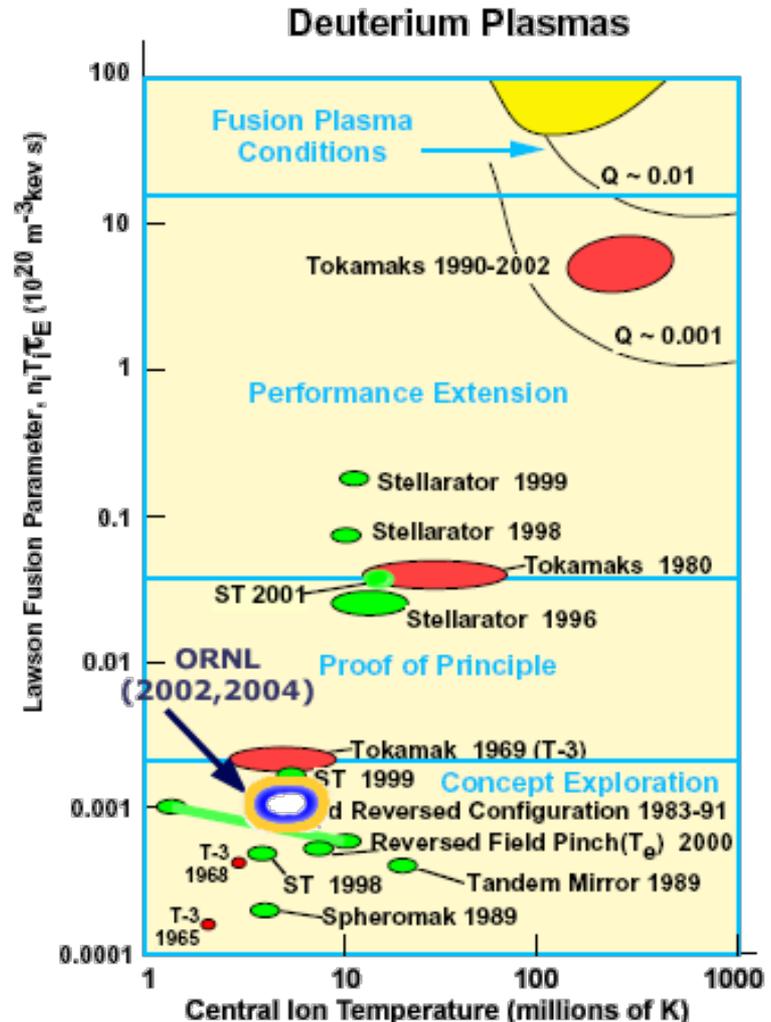
Taleyarkhan's Sonofusion

- R. P. Taleyarkhan, et al. (ORNL/Purdue) “Evidence for Nuclear Emissions During Acoustic Cavitation,” *Science* **295**, 1868 (2002) outlined results of cavitation experiments in deuterated acetone
 - Cavitation sites were initiated with a 14 MeV pulsed neutron source and driven with a PZT ring
 - Sonoluminescence was not single-bubble, but formed clusters of a thousand bubbles at the cavitation site.
 - Observed tritium decay activity above background, neutron emission near 2.5 MeV coinciding with sonoluminescence flash
 - Control experiments with normal acetone did not result in tritium activity or neutron emissions.
 - Hydrodynamic shock code simulations supported the observed data and indicated highly compressed, hot (10^6 to 10^7 K) bubble implosion conditions
 - The results imply that higher cavitation temperatures are found in liquids with higher vapor pressure rather than liquids with higher speed of sound, surface tension or viscosity
 - A different ORNL group repeated the experiment, but no coincidence of neutrons with sonoluminescence flash was found
- Taleyarkhan's group repeated experiment with uranyl nitrate in a mix of benzene, tetrachloroethene and acetone (normal and deuterated)
 - Reported an increase in neutron and gamma ray flux using the deuterated acetone mixture; this flux was not seen in the other mixtures, including heavy water (*Phys. Rev. Lett.* **96**(3), January 2006)
 - Edward Forringer and his group from LeTourneau University were able to reproduce the experiment and results (*Transactions of the American Nuclear Society*, November 2006)
 - Criticism of the results range from neutron flux is too weak for definitive evidence or that the reported neutron energies are consistent with Cf-252 emission, a common lab source



Lawson Diagram Metric to Track Fusion Development

- Conditions for D-D Fusion:
 - $T \geq \sim 4 \times 10^8$ K
 - $n\tau \geq 10^{16}$ s/cm³ (Lawson Criterion)
- ORNL/Purdue claims that thermonuclear fusion using sonochemistry is possible (“Sonofusion” or “Bubble Fusion”)
 - Results supported by LeTourneau University
 - Discounted by UCLA
- The Lawson Criterion metric suggests that Sonofusion is at the point that Tokamaks were 40 years ago



Sonoluminescence as a Power Source?

- As a new Practical Power Source, needs to be scalable to as small as possible
 - Power supply for the transducers is most of the mass
 - An array of cells like a battery pack can distribute required mass for larger specific power
 - >>20 ml for cell size realistic criterion

- First Order Estimate of Cell Size:

- free oscillation frequency of a bubble in a liquid:

$$\omega = \frac{1}{a} \sqrt{\frac{3\gamma P}{\rho}}$$

- resonance frequency of the test cell:

$$\omega = \frac{k\pi c}{r}$$

- smallest test cell (with $k=1$):

$$\frac{r}{a} = \sqrt{\frac{k^2 \pi^2 c^2 \rho}{3\gamma P}} = 230$$

- If $a=10 \mu\text{m}$, $r=2.3 \text{ mm}$, so $V=0.013 \text{ ml}$, but $f=325 \text{ kHz}$



ISS Battery Cell Pack

~316 W/kg specific power (peak)

350 ml per Ni-H cell

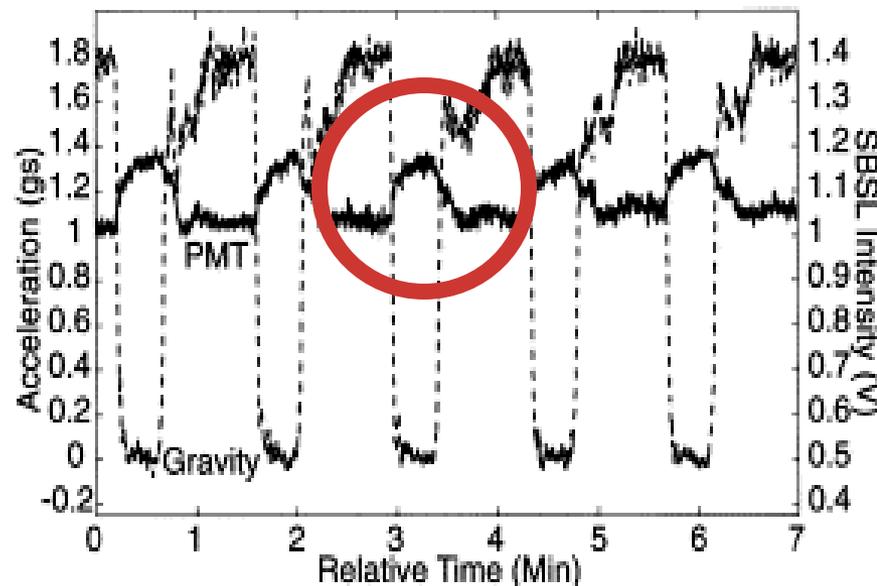


Star in a Jar

1 kW/kg specific power (?)

Sonoluminescence in Microgravity

- KC-135 Flight in 1998 by University of Washington
- Single-Bubble Sono-luminescence (SBSL) promptly brightened 20% and continued brightening under microgravity conditions
- ISS experiment was scheduled for launch April 2005
- Flight hardware under development in 2003
- Experiment cancelled in the redirection of space exploration efforts



NanoStar: Sonoluminescence

Gus Fralick (PI - RIS), John Wrbanek (RIS), Susan Wrbanek (RIO)

Task Summary

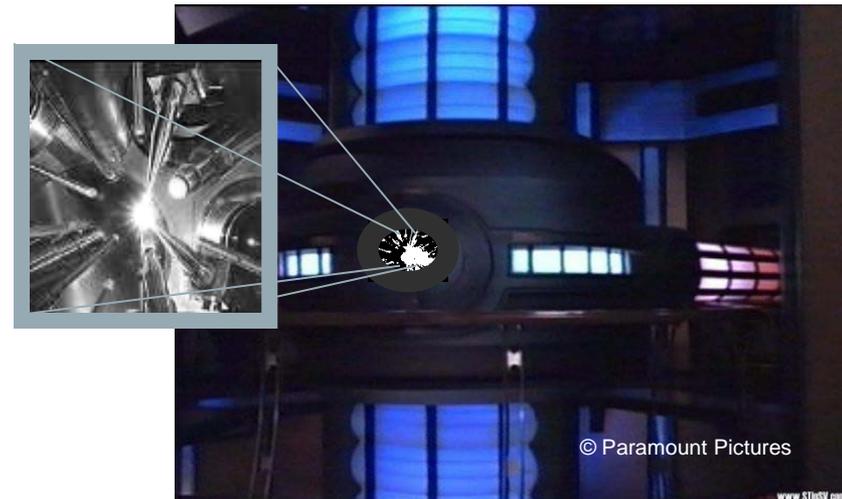
- Sonoluminescence: The phenomenon in which acoustic energy is concentrated into collapsing bubbles that emit picosecond pulses of broadband light.
- Calculations indicate that peak temperatures inside the SL bubbles may exceed 12 million K, that peak pressures may reach 100 million atmospheres, could initiate D-D fusion.
- Harnessing the high energy release would lead to the development of revolutionary propulsion and power systems.
- Developing instrumentation and measurement techniques to investigate power generation using sonoluminescence.
- Initially determine whether there is any difference in the emission spectrum of radiation from bubbles in heavy water (D_2O) and light water (H_2O).



From a "Star in a Jar" ...

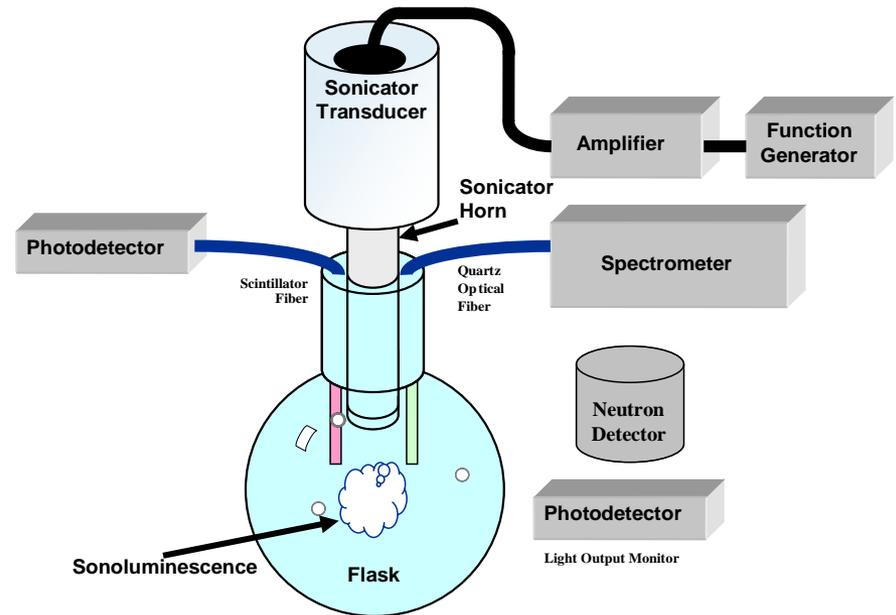
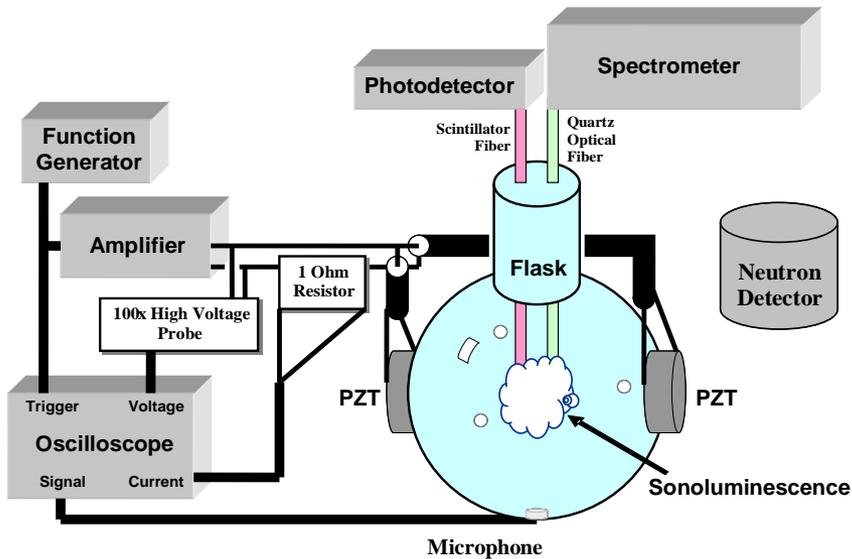
Advancing the Existing State of the Art

- The claims and theories being examined predict a net gain of power resulting from atomic interactions at the high temperatures and pressures present in SL.
- SL-based power generation has been only recently reported in the main-stream academic press (*Science*, 8 Mar 02).
- The development of measurement techniques to verify and further develop this technology is a necessity.



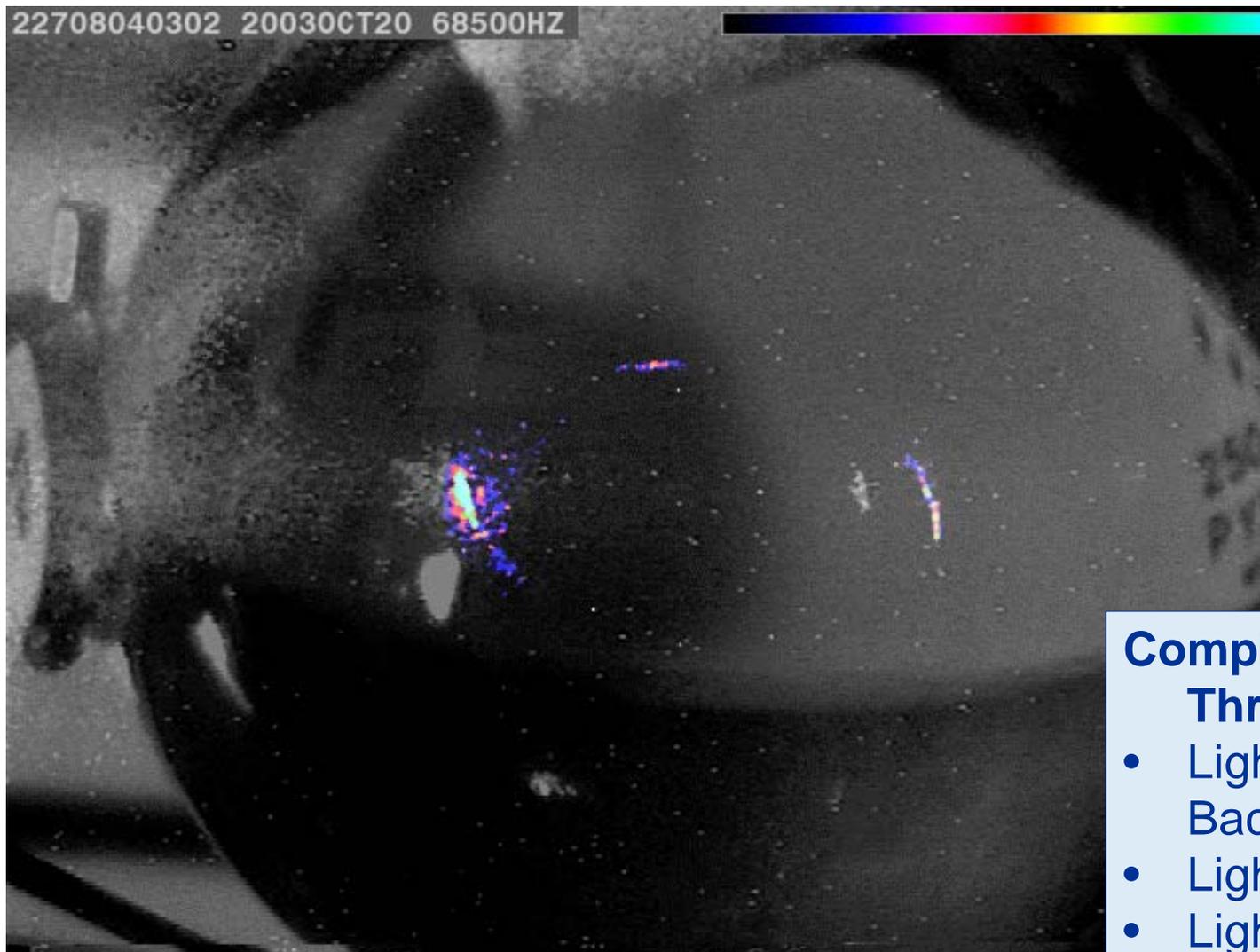
...to the Future?

Apparatus



- Ultrasonic transducer induces cavitation in a test cell
- Piezoelectric amplifier drives transducer from signal generator
- Two types of transducer setups
 - Resonating Test Cell
 - “Sonicator” Cell Disruptor in Flask or Beaker
- Photodetectors, Spectrometers, Neutron Detectors can be used
 - Monitor with Lights Out!

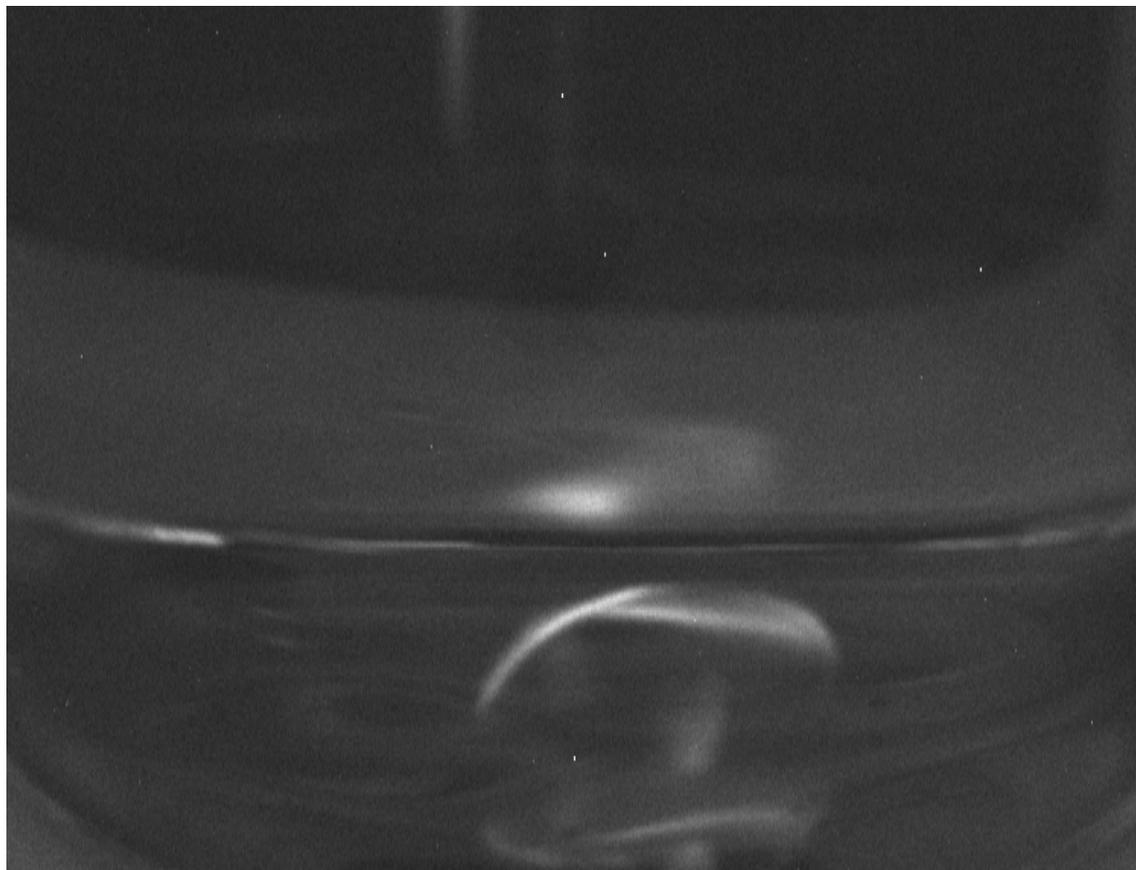
Ring of Multi-Bubble Sonoluminescence (MBSL) Imaged with Low Lux Video Camera



- Compilation of Three Images:**
- Lights Off Background
 - Lights On Flask
 - Lights Off MBSL



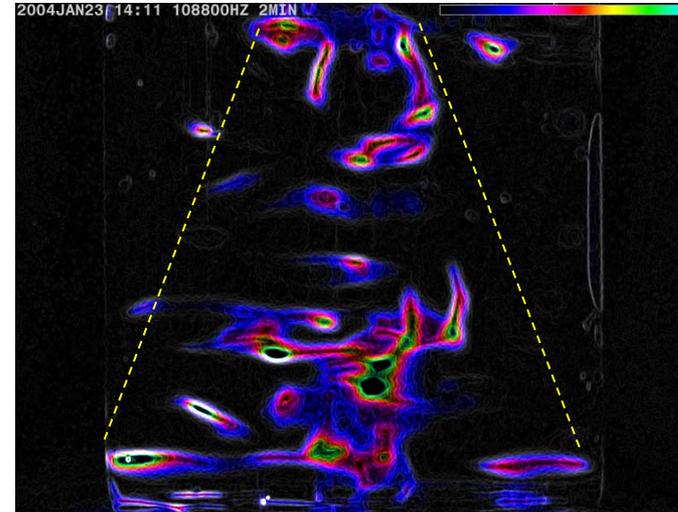
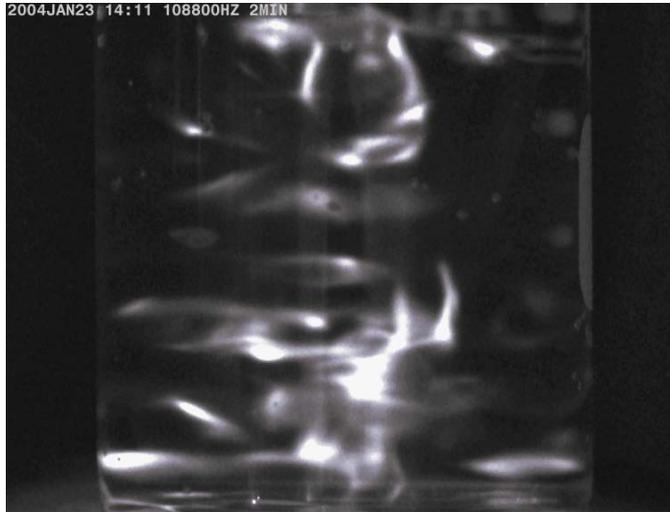
Multi-Bubble Sonoluminescence (MBSL) Imaged using Astrophotography Camera



- Image quality allows better placement of instrumentation
- Improved image of MBSL over video camera
 - Enhanced contrast only

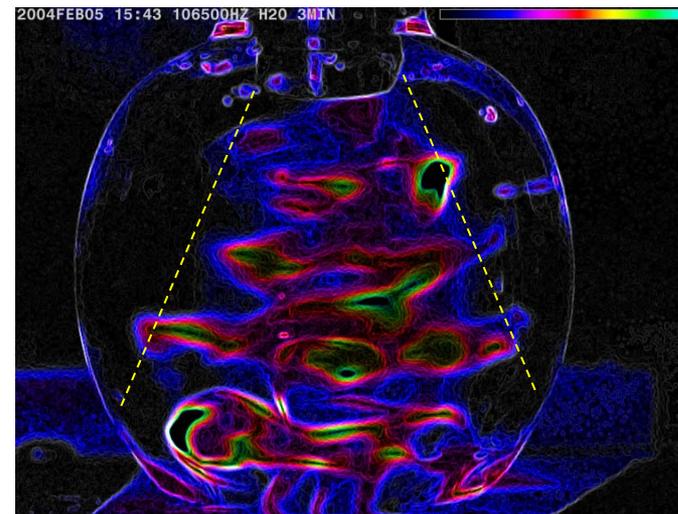
MBSL using Sonicator Test Cell

- 100 ml Beaker



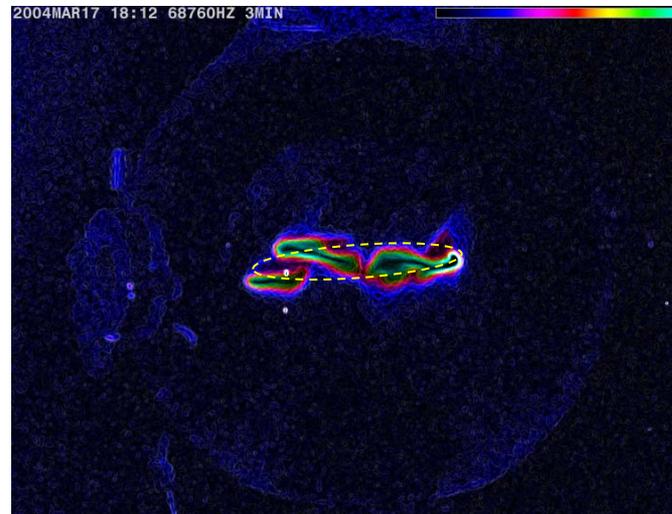
False
Color
Images
Showing
Structure

- 50 ml Quartz Flask



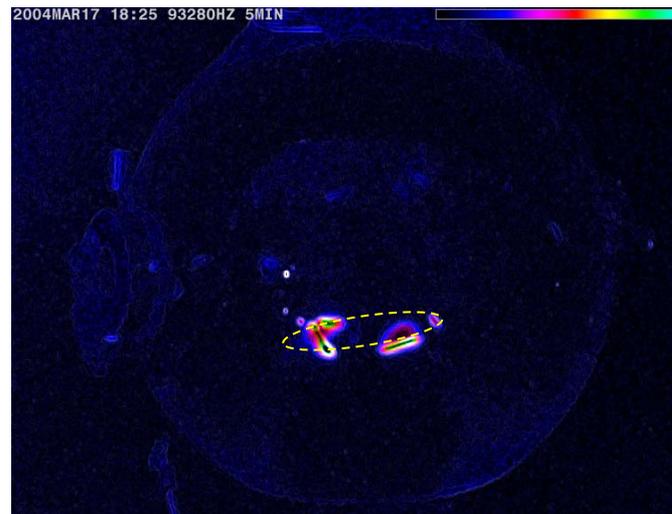
MBSL in Resonating Test Cell

- 68.76 kHz

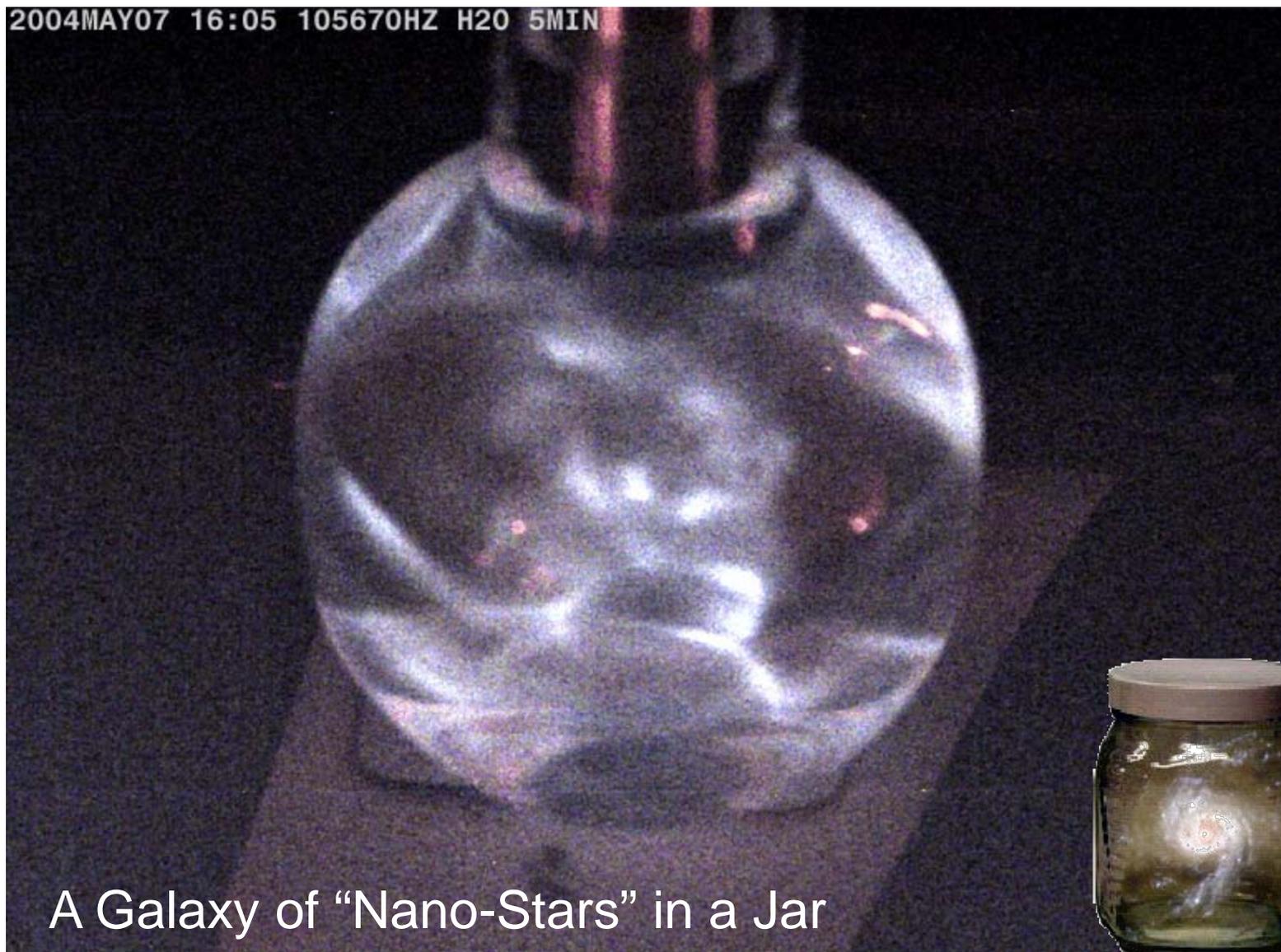


False
Color
Images
Showing
Structure

- 93.28 kHz

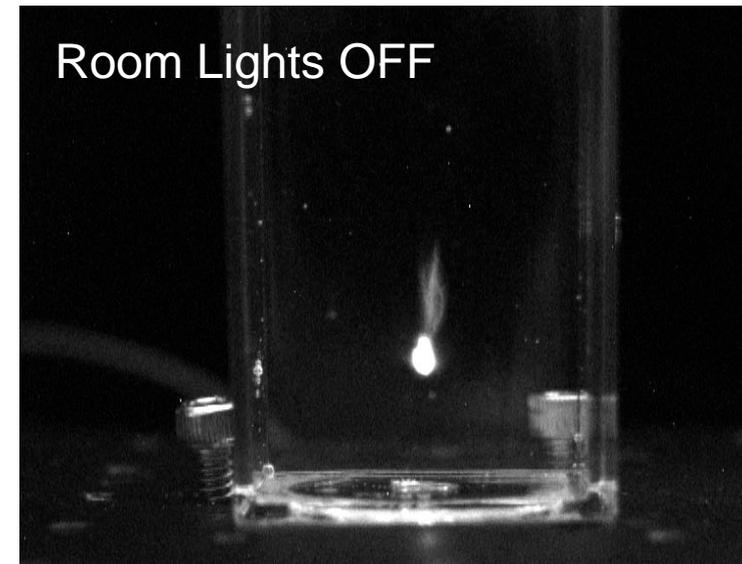
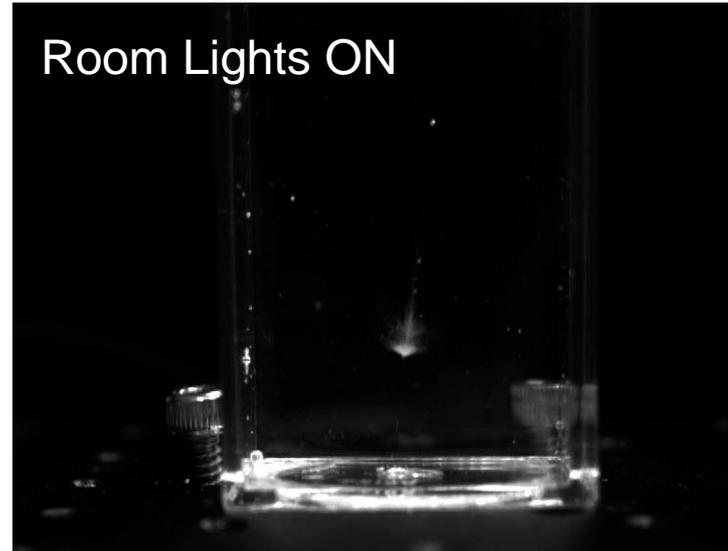


True-Color MBSL in H₂O



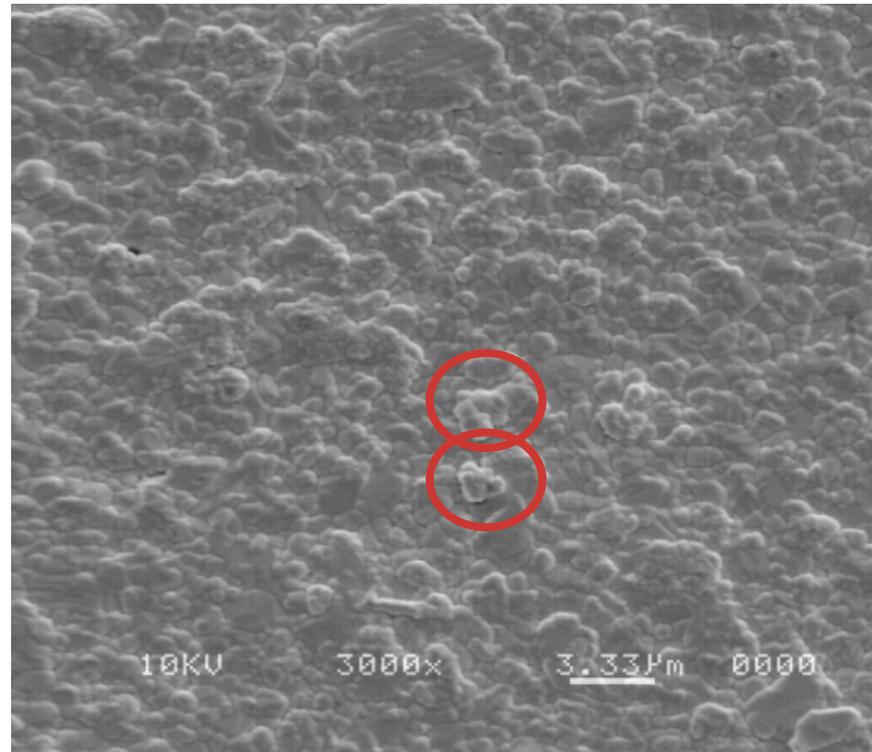
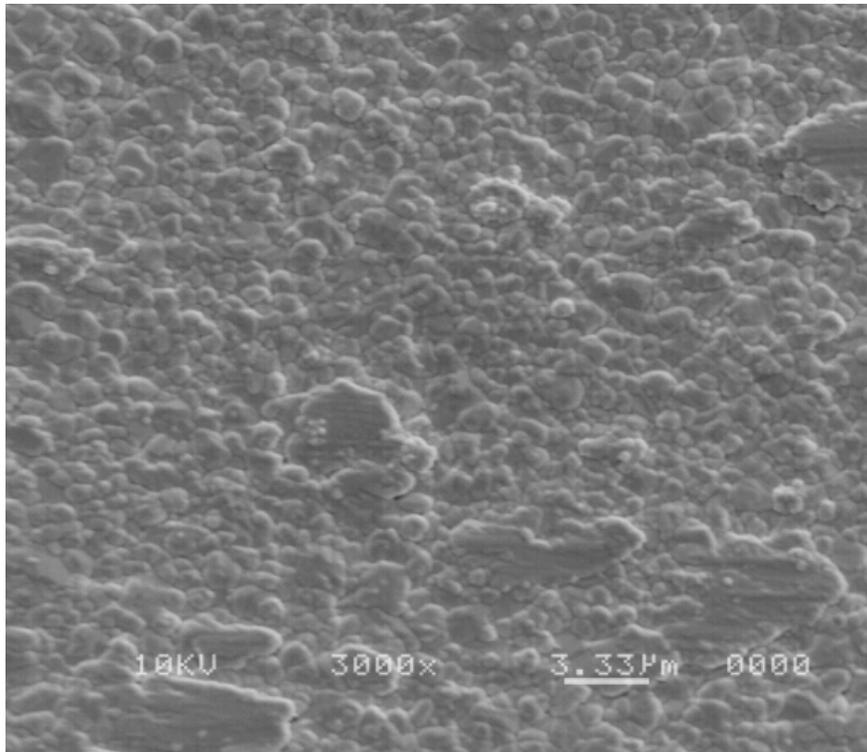
Sonoluminescence in Solvents

- Empirical relationships correlate SL brightness with:
 - the liquid's viscosity,
 - surface tension,
 - inverse of the vapor pressure, or
 - a combination of properties
- Brighter sonoluminescence should be seen in the solvents with higher boiling points ($>100^{\circ}\text{C}$)
- Glycerin is an attractive solvent for use in sonoluminescence studies
 - Notoriously hygroscopic
 - Stabilizes as the 80% glycerin to 20% water mixture in air
 - Relatively safe and readily available
- Generated cavitation in Glycerin with a Sonicator setup corresponding to bright MBSL
 - Cavitation was particularly localized
 - Provides a promising target for spectroscopy and radiation studies



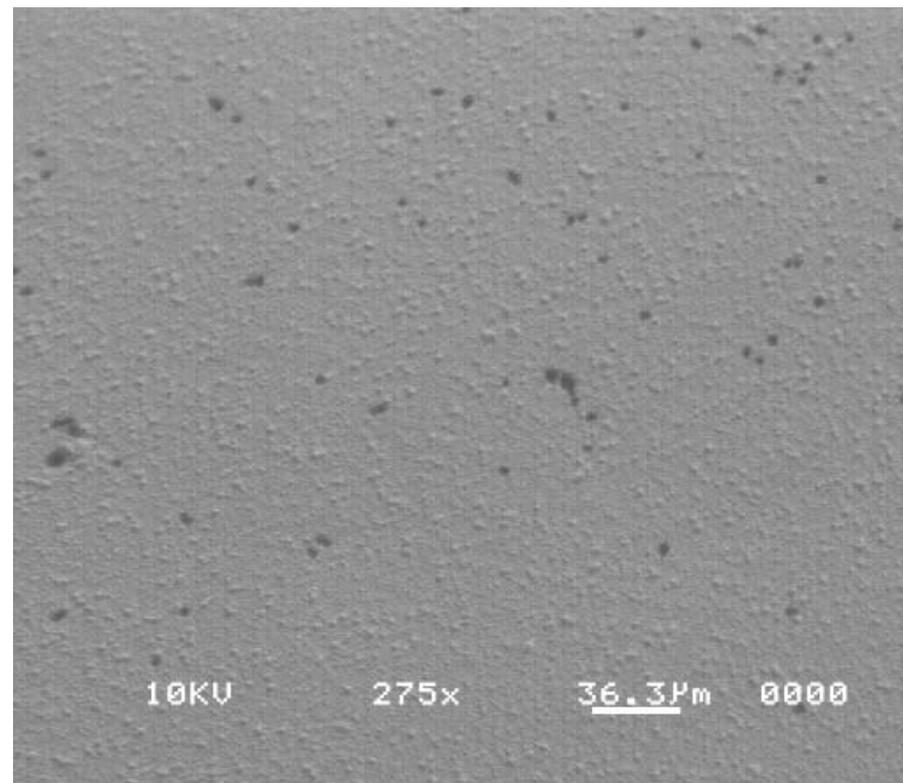
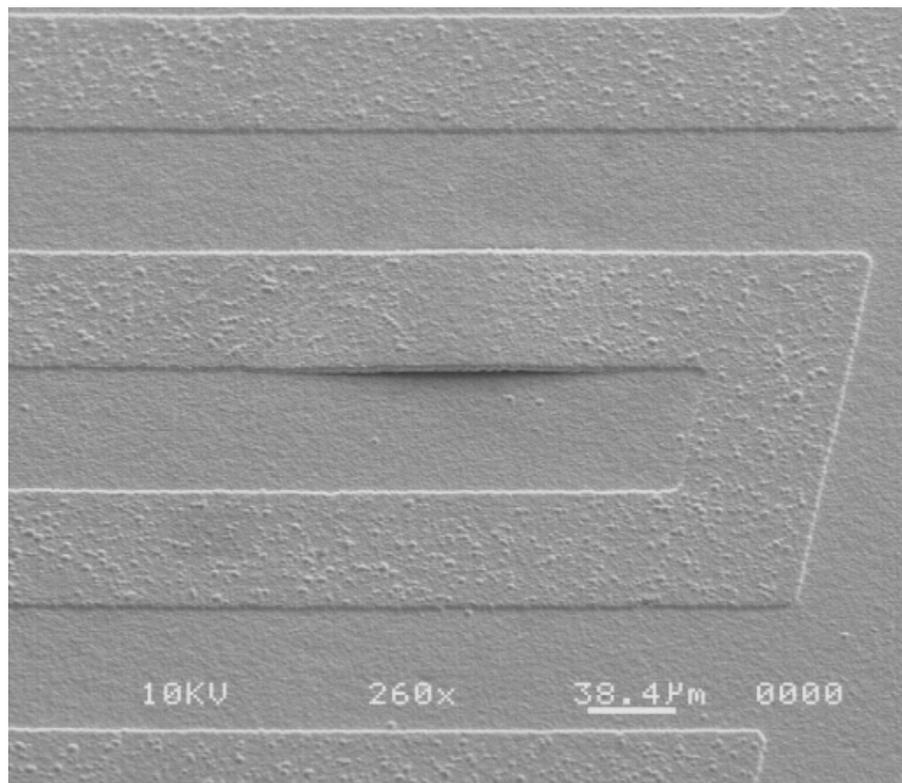
Indications of High Temperature

- Modifications of films can indicate high temperature environments
 - Comparison can reveal temperature differences
- Initial platinum (Pt) films on alumina exposed to MBSL in H₂O and D₂O showed little difference
 - Globules in D₂O run? Not conclusive



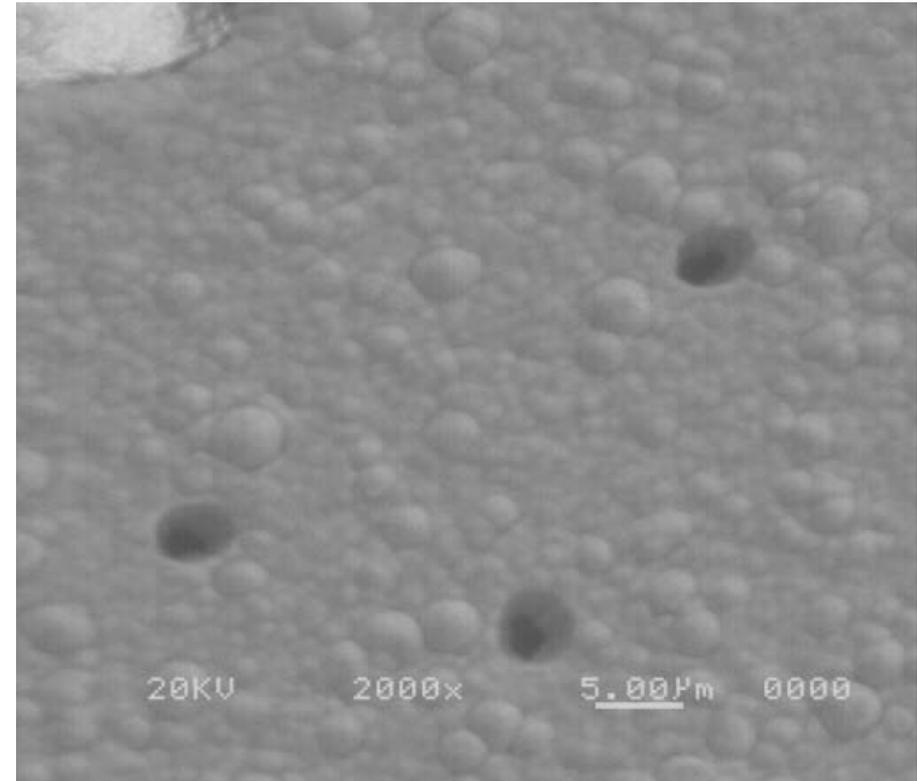
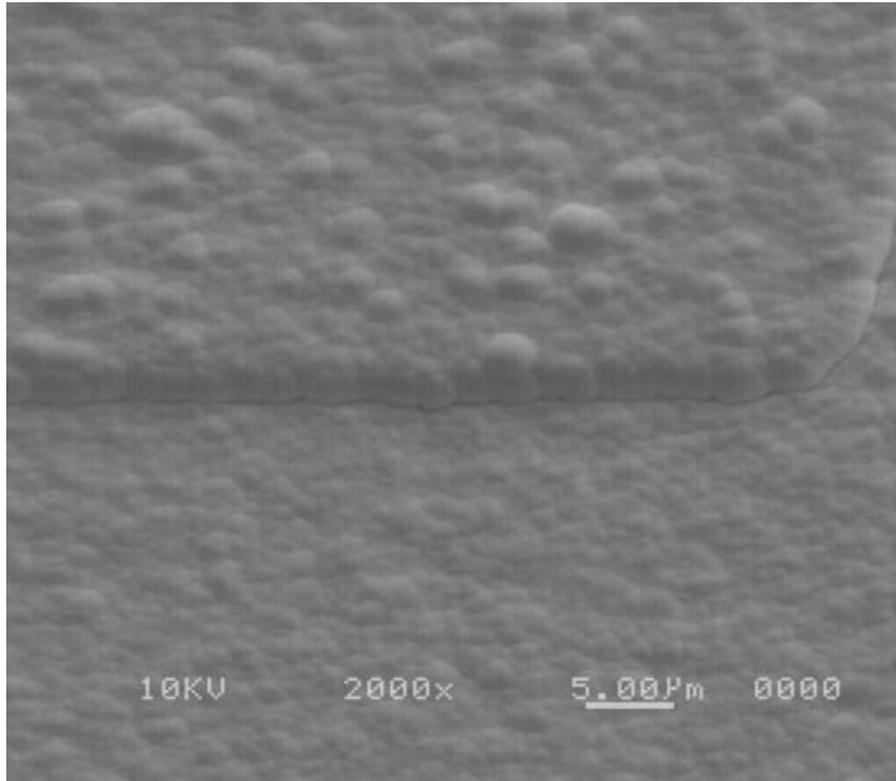
- Pt Film after exposure to MBSL in H₂O
- Pt Film after exposure to MBSL in D₂O

PdCr Thin Films Over Pt Traces on Alumina



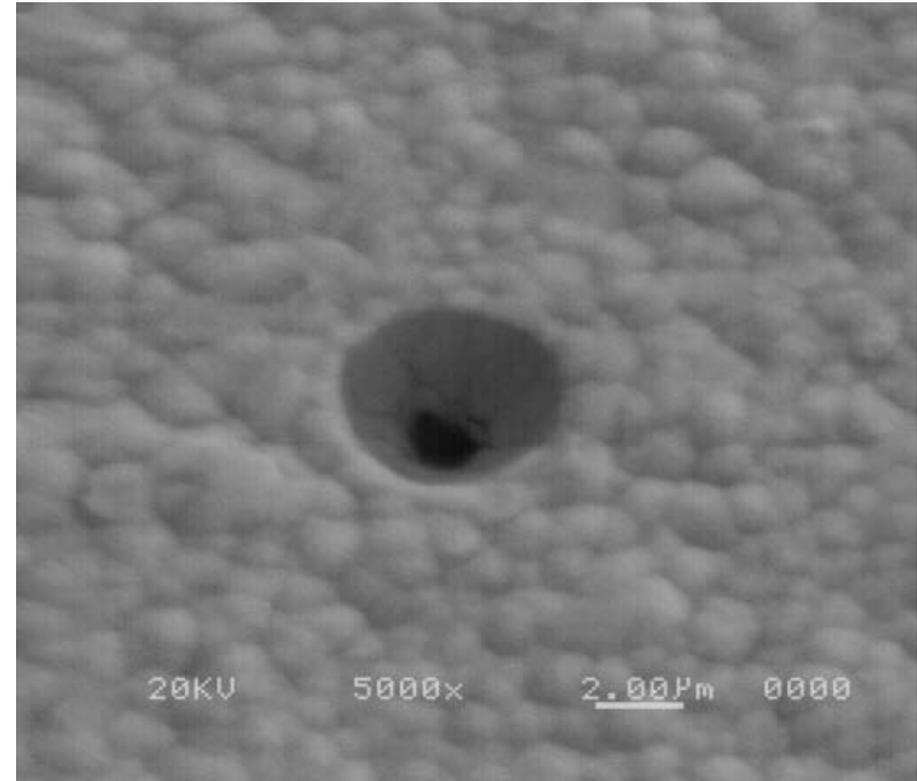
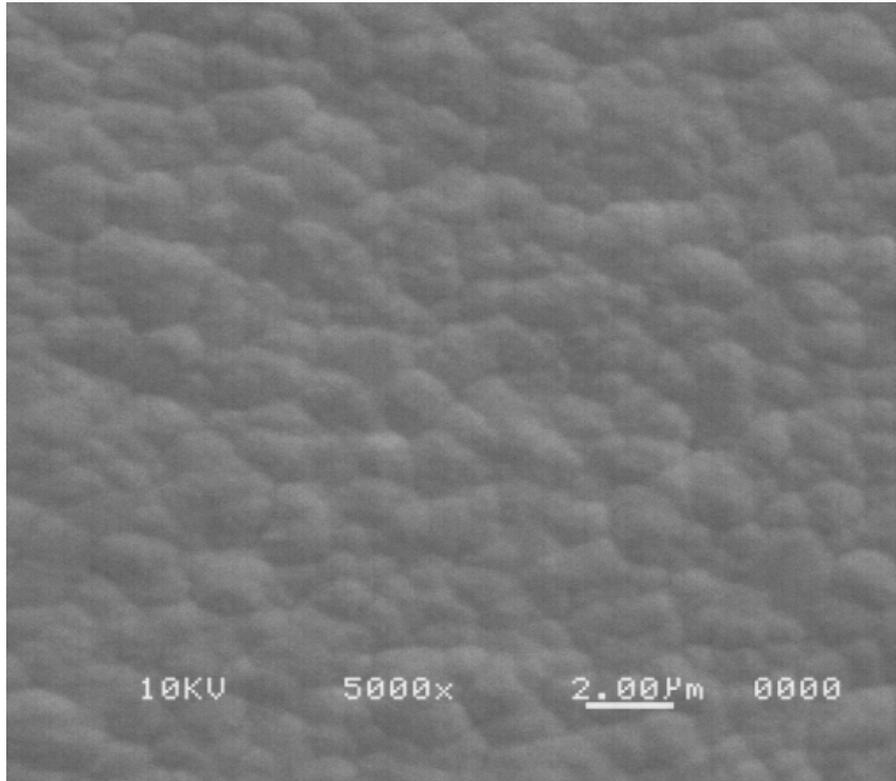
- No Crater Formation seen after exposure to MBSL in H₂O
- Crater Formation seen after exposure to MBSL in D₂O
- Large Grain Failures usually seen in thin films due to CTE mismatches at high temperature (~1000°C)

PdCr Thin Films Over Pt Traces on Alumina



- No Crater Formation seen after exposure to MBSL in H₂O
- Crater Formation seen after exposure to MBSL in D₂O
- Large Grain Failures usually seen in thin films due to CTE mismatches at high temperature (~1000°C)

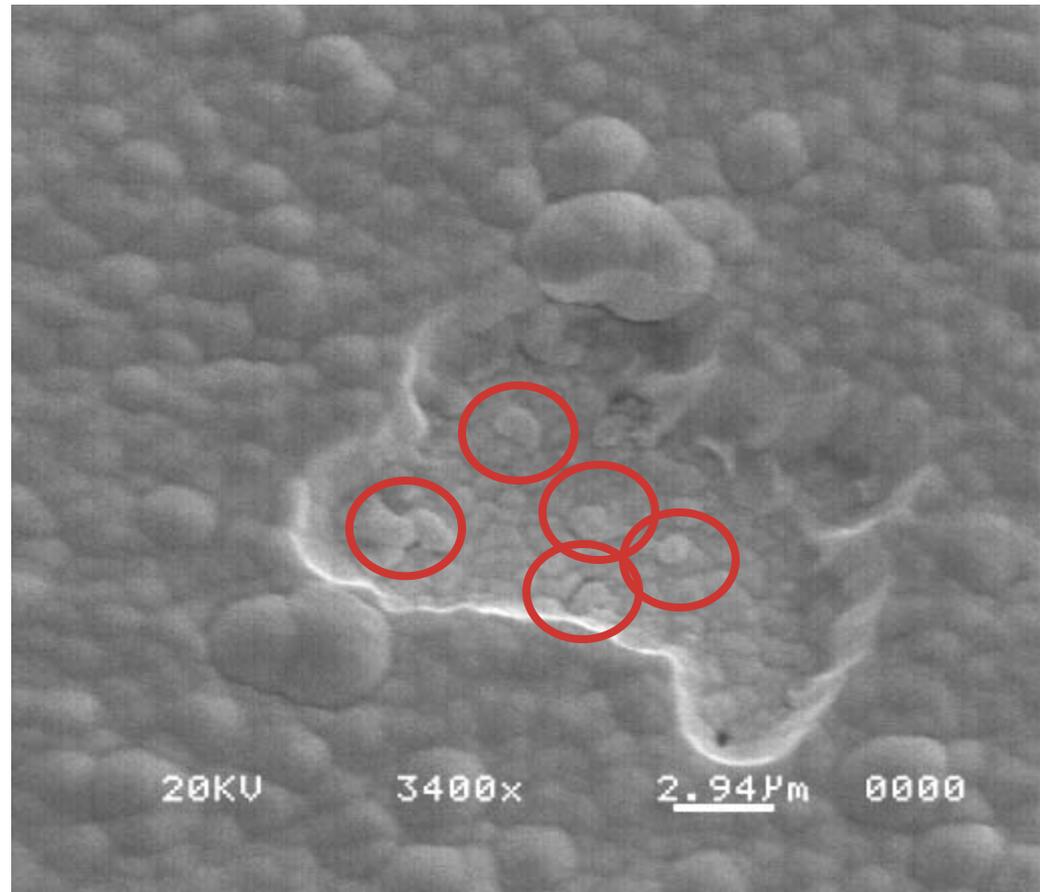
PdCr Thin Films Over Pt Traces on Alumina



- No Crater Formation seen after exposure to MBSL in H₂O
- Crater Formation seen after exposure to MBSL in D₂O
- Large Grain Failures usually seen in thin films due to CTE mismatches at high temperature (~1000°C)

PdCr Thin Films Over Pt Traces on Alumina

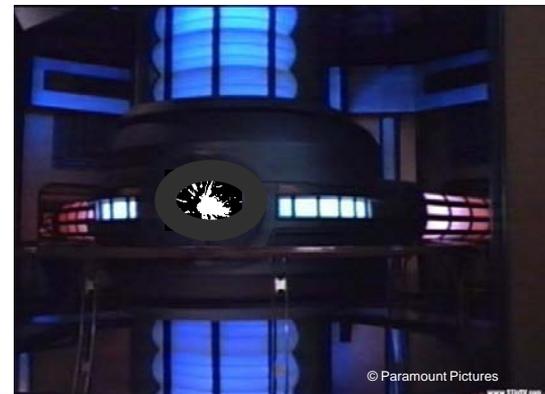
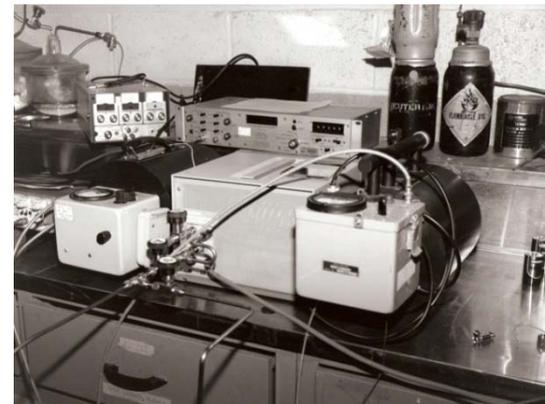
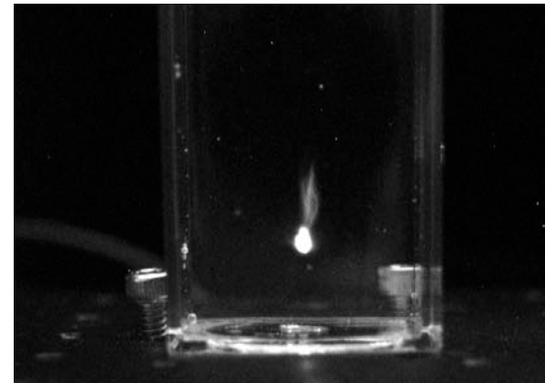
- Large failure areas also seen in PdCr film over Pt exposed to MBSL in D₂O
 - PdCr nodules appear on the bottom in failure areas
- Failures not seen in PdCr directly on alumina, or when exposed to MBSL in H₂O runs





Concepts

- Localized sonoluminescence a first step for including in-situ instrumentation
- Sonofusion claims of neutron production should be detectable
 - Miniature radiation detectors inside cells complementing or replacing large detectors outside of the test cells
- Bubble temperature of millions of degrees should be harvestable
 - In-situ energy harvesting based on thermal gradient between liquid and hot bubbles

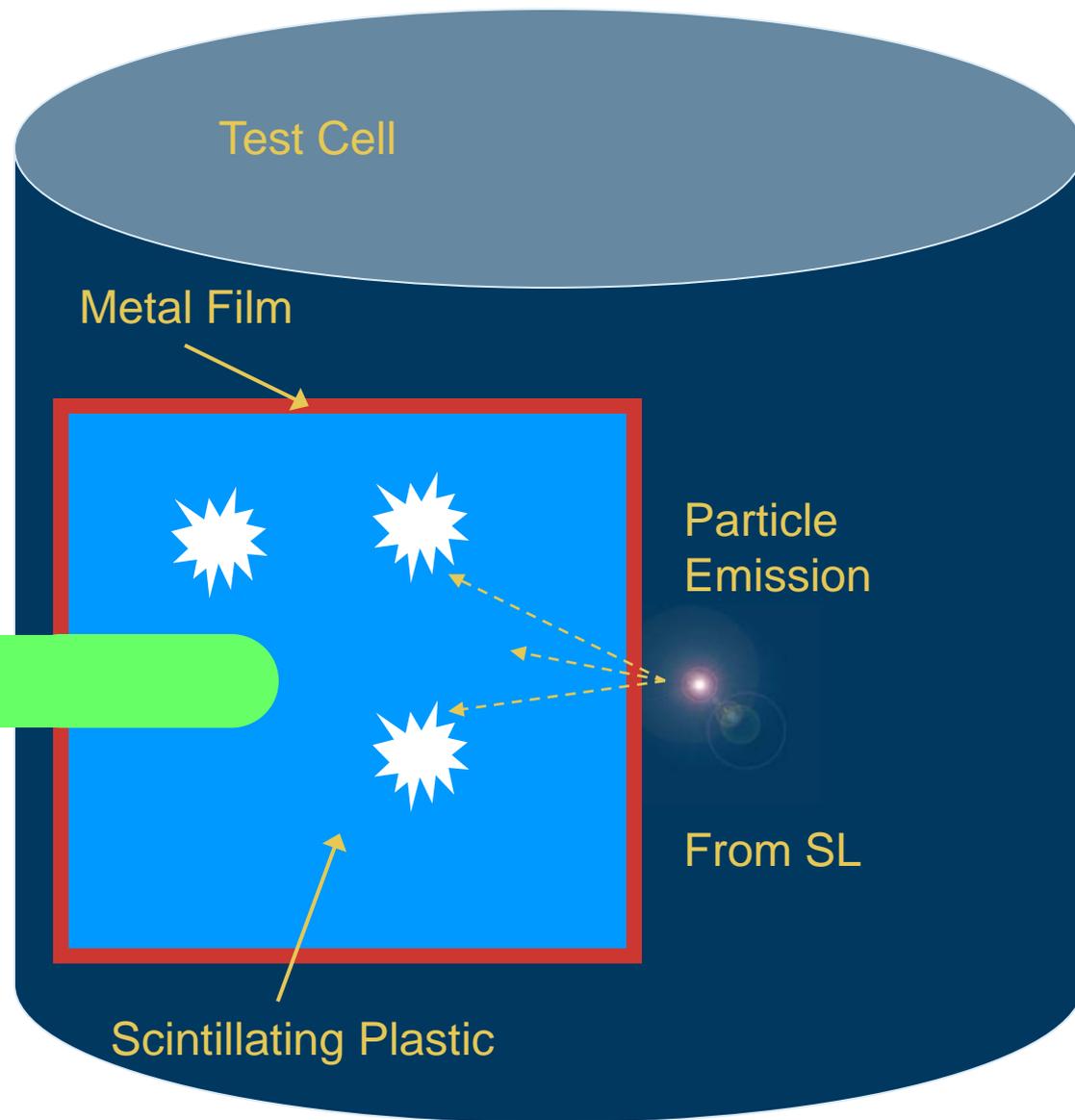


Thin Film Coated Scintillating Detectors

- Fiber optic-based scintillator detector under development
- Particle emissions react with metal film
 - Results react with the scintillator

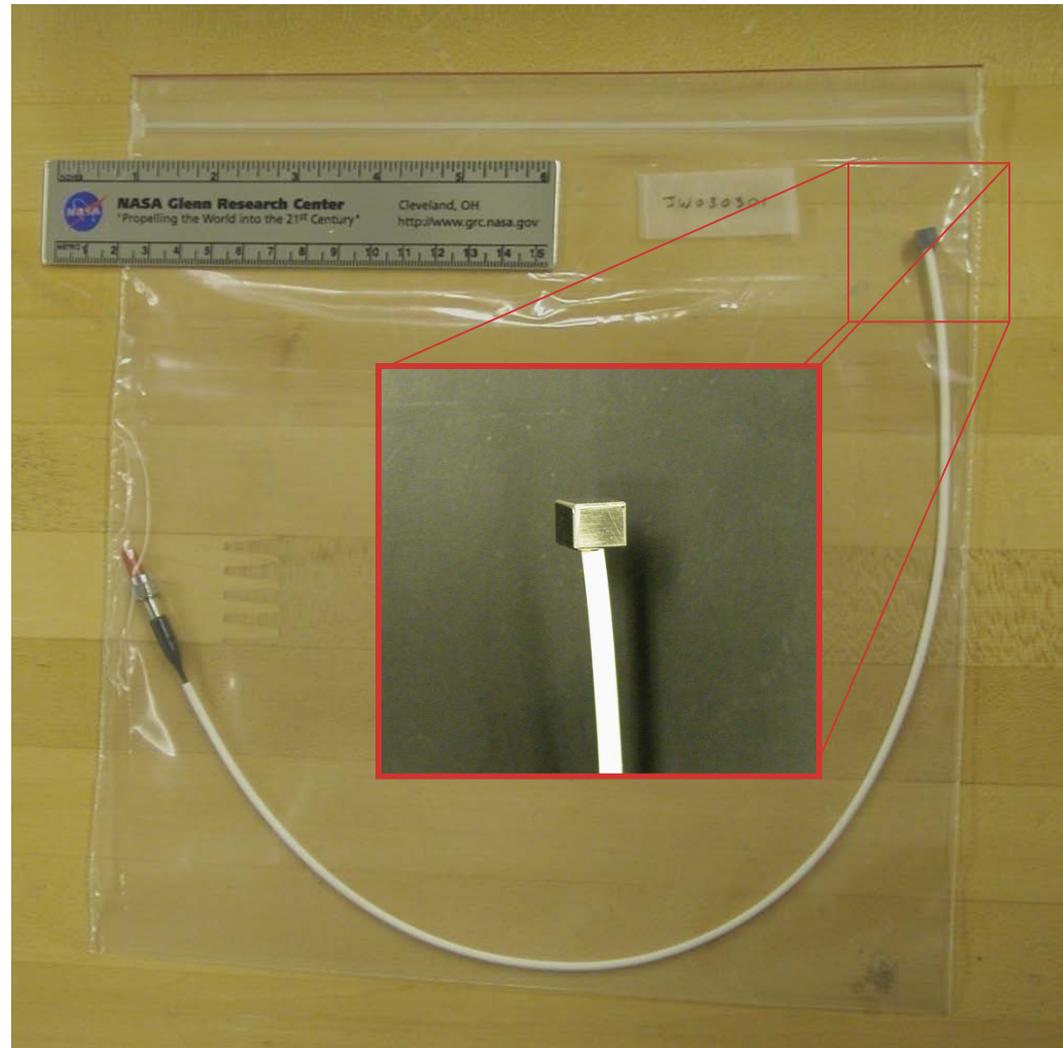
← Optical Fiber to PMT

- Thin film coatings allow identification of processes that may be occurring

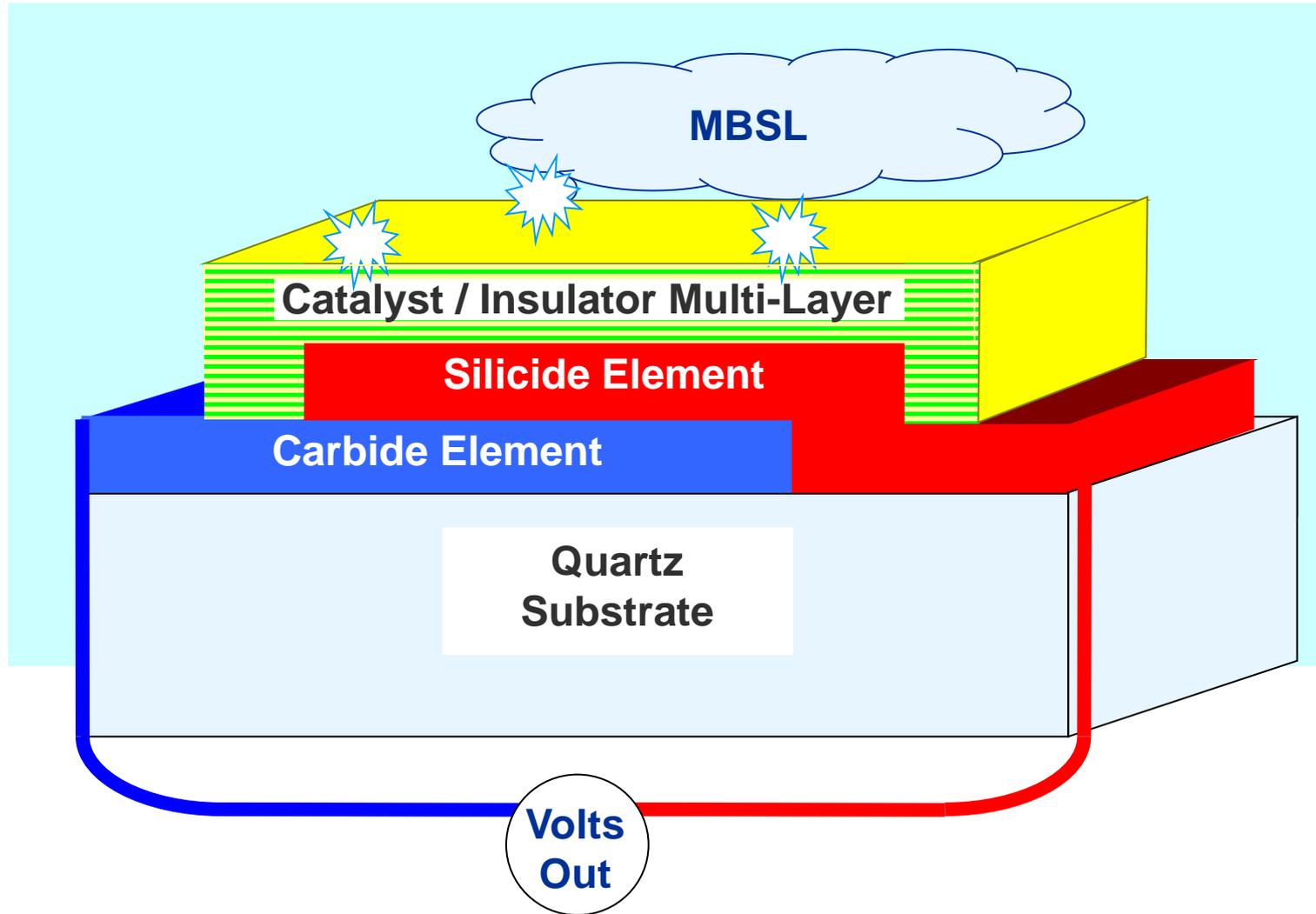


Thin Film Coated Scintillating Detectors

- Prototype detectors fabricated
 - Rhodium for neutron detection
 - Copper as an attenuator,
 - Palladium as a possible catalyst based on thin film experiments
- Relative responses modeled using Monte Carlo program SRIM
- Very sensitive to external light noise
- Leveraging work as detectors for space missions



Energy Harvesting Concept

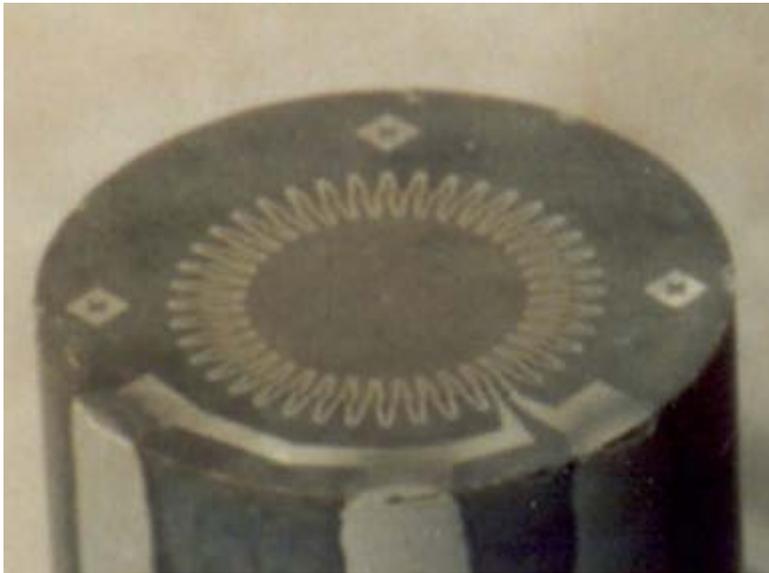


- Recent results in ceramic thin films suggest this concept is possible



Energy Harvesting Concept

- Initial test of concept to use thin film thermopile for heat flux measurements



**6 mm diameter, 40-pair thermopile
thin film heat flux sensor**

- Estimate of power generation:
 - $100\mu\text{V}/^\circ\text{C} \times \Delta T \Rightarrow 200\text{ mV/junction}$
 - $200\ \Omega/\text{junction} \Rightarrow 0.2\text{ mWatts/junction}$
 - 50% efficiency $\Rightarrow 0.1\text{ mWatts/junction}$
 - 40 junctions \Rightarrow 4 mWatts
 - Input electrical power of Sonicator \Rightarrow 350 Watts
- Improvements needed
 - Thermoelectric materials
 - Sonicator/PZT arrays

Sonoluminescence as a Power Source?

- As a new Practical Power Source, needs to be scalable to as small as possible
 - Power supply for the transducers is most of the mass
 - An array of cells like a battery pack can distribute required mass for larger specific power
 - >>20 ml for cell size realistic criterion

- First Order Estimate of Cell Size:

- free oscillation frequency of a bubble in a liquid:

$$\omega = \frac{1}{a} \sqrt{\frac{3\gamma P}{\rho}}$$

- resonance frequency of the test cell:

$$\omega = \frac{k\pi c}{r}$$

- smallest test cell (with $k=1$):

$$\frac{r}{a} = \sqrt{\frac{k^2 \pi^2 c^2 \rho}{3\gamma P}} = 230$$

- If $a=10 \mu\text{m}$, $r=2.3 \text{ mm}$, so $V=0.013 \text{ ml}$, but $f=325 \text{ kHz}$



ISS Battery Cell Pack

~316 W/kg specific power (peak)

350 ml per Ni-H cell



Star in a Jar

1 kW/kg specific power (?)



Summary

- The high temperatures and pressures measured in sonoluminescence have generated claims and theories that predict a net gain of power resulting from atomic interactions.
 - Success has been recently reported in the mainstream academic press, and if practical, could revolutionize aerospace power systems.
- NASA Glenn Research Center (GRC) conducted a preliminary investigation of the technologies and techniques to characterize sonoluminescence.
- Apparatus to produce sonoluminescence were built to generate the effect with both a resonating container and a Sonocator inserted in a flask
- Images have been produced of sonoluminescence in a variety of containers and with a variety of liquids
- The modification of palladium-chromium alloy (PdCr) thin films suggests the generation of high temperature from sonoluminescence in heavy water.
- Concepts for in situ radiation detection and energy harvesting were presented.



Acknowledgments

- Alternate Fuel Foundation Technologies (AFFT; Leo Burkardt, Dave Ercegovic) Subproject of the Low Emissions Alternative Power (LEAP) Project and the Breakthrough Propulsion Physics (BPP; Marc Millis) Project at the NASA Glenn Research Center (GRC) for sponsoring this work.
- Nancy Rabel Hall of the Fluid Physics and Transport Branch at NASA GRC, for providing references and information on sonoluminescence in microgravity and for reviewing this report.
- José Gonzalez of Gilcrest Electric as part of the NASA GRC Test Facilities Operation, Maintenance, and Engineering (TFOME) organization at NASA GRC for thin film deposition support.
- Tim Bencic of the Optical Instrumentation & NDE Branch at NASA GRC for providing support in a student's attempt to look at the glycerin spectrum.



Suggested Reading

Overviews:

- L. A. Crum, "Sonoluminescence," *Physics Today*, Vol. 47, September 1994, p. 22-29.
- S. J. Putterman, "Sonoluminescence: Sound into Light," *Scientific American*, February 1995, pp. 32-37.
- *Sonochemistry and Sonoluminescence*, edited by L.A. Crum, et al. (Kluwer, 1999).
- *Sonoluminescence*, F.R. Young (CRC Press, Boca Raton, 2005).

Papers of Interest on Sonofusion:

- Barber, B.P.; Wu, C.C.; Lofstedt, R.; Roberts, P.H.; and Putterman, S.J.: "Sensitivity of sonoluminescence to experimental parameters," *Phys. Rev. Lett.*, Vol. 72, No. 9, February 1994, pp. 1380-1383.
- Moran, M.J.; Haigh, R.E.; Lowry, M.E.; Sweider, D.R.; Abel, G.R.; Carlson, J.T.; Lewia, S.D.; Atchley, A.A.; Gaitan, D.F.; and Maruyama, X.K.: "Direct observation of single sonoluminescence pulses," *Nucl. Instr. and Meth. in Phys. Res. B*, Vol. 96, No. 3-4, May 1995, pp. 651-656.
- Moss, W.C.; Clarke, D.B.; White, J.W.; and Young, D.A.: "Sonoluminescence and the prospects for table-top micro-thermonuclear fusion," *Physics Letters A*, Vol. 211, No. 2, February 1996, pp. 69-74.
- George, R.: "Photographic Evidence for Micronuclear Explosions in Thin Metal Foils Initiated by Intense Ultrasonic Cavitation," *Scanning*, Vol. 19, No. 3, 1997, p. 196.
- Stringham, R.: "Anomalous Heat Production by Cavitation," *Proceedings of the 1998 IEEE Ultrasonics Symposium*, IEEE, 1998, pp. 1107-1110.



Suggested Reading

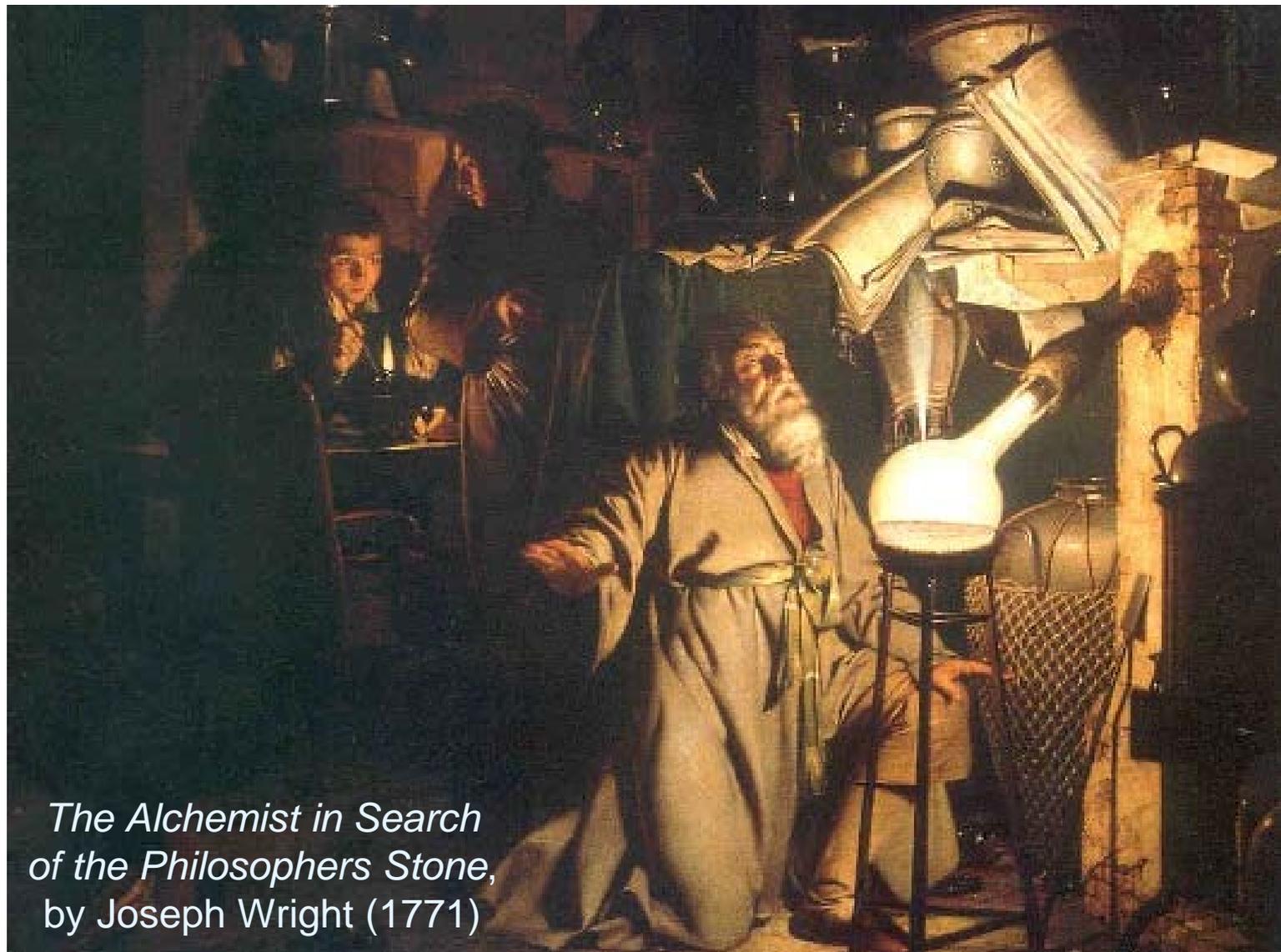
Taleyarkhan's Sonofusion:

- Taleyarkhan, R.P.; West, C.D.; Cho, J.S.; Lahey Jr., R.T.; Nigmatulin, R.I.; and Block, R.C.: "Evidence for Nuclear Emissions During Acoustic Cavitation," *Science*, Vol. 295, March 2002, pp. 1868-1873.
- Shapira, D.; and Saltmarsh, M.: "Nuclear Fusion in Collapsing Bubbles—Is It There? An Attempt to Repeat the Observation of Nuclear Emissions from Sonoluminescence," *Phys. Rev. Lett.*, Vol. 89, No. 10, September 2002, 104302.
- Taleyarkhan, R. P.; West, C. D.; Lahey, Jr., R. T.; Nigmatulin, R. I.; Block, R. C.; and Xu, Y.: "Nuclear Emissions During Self-Nucleated Acoustic Cavitation," *Phys. Rev. Lett.*, Vol. 96, No. 3, January 2006, 034301.
- Forringer, E.R.; Robbins, D.; and Martin, J.: "Confirmation of Neutron Production During Self-Nucleated Acoustic Cavitation," *Transactions of the American Nuclear Society*, November 2006, pp. 736-7.

NASA Reports:

- J. Wrbanek, G. Fralick and S. Wrbanek: "Development of Techniques to Investigate Sonoluminescence as a Source of Energy Harvesting," NASA TM-2007-214982 (October 2007).
- J. Wrbanek, G. Fralick, S. Wrbanek and N. Hall: "Investigating Sonoluminescence as a Means of Energy Harvesting," *Frontiers of Propulsion Science*, edited by Marc Millis and Eric Davis (AIAA, Reston, VA, 2009).

Questions



*The Alchemist in Search
of the Philosophers Stone,
by Joseph Wright (1771)*